

PATENT APPLICATION DOCKET # 5034-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Michael G. Taylor

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Serial No.: 10/728,247

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Group Art Unit: Unknown

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Filed: December 4, 2003

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Examiner: Unknown

For: COHERENT OPTICAL DETECTION AND SIGNAL PROCESSING METHOD AND

SYSTEM

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Pursuant to 37 CFR §1.56 and in accordance with 37 CFR §§1.97-1.98 information relating to the above-identified application is hereby disclosed. Copies of each of the reference listed on the attached Form PTO/SB/08B is enclosed herewith. Certain of the reference may contain markings,

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Respectfully submitted,

Michael L. Diaz

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Dated: 9 - 24 - 04

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/728247		
Filing Date	12-04-2003		
First Named Inventor	Michael G. Taylor		
Art Unit			
Examiner Name			
Attorney Docket Number	5034-0001		

				DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		^{US-} 5323258	06-21-94	Tsushima et al.		
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
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Substitute for form 1449/PTO		Complete if Known			
Cussiiiu				Application Number	10/728,247
			CLOSURE	Filing Date	12-04-2003
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Michael G. Taylor
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Sheet	1	of	1	Attorney Docket Number	5034-0001

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		HABBAB ET AL., "Polarization-Switching Techniques for Coherent Optical Communications," Journal of Lightwave Technology, Oct. 1988.	
		ENNING ET AL., "Signal Processing in an Optical Polarization Diversity Receiver for 560-Mbit/s ASK Heterodyne Detection," Journal of Lightwave Technology, March 1989.	
		ELREFAIE ET AL., "Chromatic Dispersion Limitations in Coherent Lightwave Transmission Systems," Journal of Lightwave Technology, May 1988.	
		CHIKAMA ET AL., "Modulation and Demodulation Techniques in Optical Heterodyne PSK Transmission Systems," Jouranl of Lightwave Technology, March 1990.	
		BULOW ET AL.,"Electronic PMD Mitigation-from Linear Equalization to Maximum-Likelihood Detection," Optical Society of America, 2000.	
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		BRAIN ET AL., "Progress Towards the Field Deployment of Coherent Optical Fiber Systems," Journal of Lightwave Technology, March 1990.	
		Agazzi ET AL., "DSP-Based Equilization for Optical Channels," Presentation at IEEE 802.3ae Meeting, New Orleans, Sept. 12-14, 2000.	

Examiner	Date	
Signature	Considered	

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. Tapplicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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